On gauge theories in LCQFT and why we need more homotopical algebra

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Based on published and ongoing joint works with different subsets of {Christian Becker, Marco Benini, Richard J. Szabo}

Motivation and goals of my talk

Locally covariant QFT (LCQFT)

- Axiomatic framework for QFT on Lorentzian manifolds
- Essential for proving model independent results, e.g. spin-statistics thm [Verch; Fewster]
- Makes it possible to construct perturbatively interacting QFTs on Lorentzian manifolds [Hollands,Wald; Brunetti,Fredenhagen; ...]

Gauge theory

- Differential geometry of principal bundles and connections
- Essential for high-energy physics, e.g. Yang-Mills theory in the standard model of particle physics
- Deep mathematical relations between gauge theory and topology, e.g. Donaldson theory and knot invariants [Witten; ...]

Goals of my talk:

- I: Explain in detail how and why LCQFT is incompatible with gauge theory.
- **II:** First steps towards a novel and more powerful framework which combines LCQFT with homotopical algebra → **homotopy** locally covariant QFT.

Outline

- 1. Brief review of locally covariant QFT
- 2. The geometry of gauge theories
- 3. Abelian quantum Yang-Mills theory: Construction, properties and problems
- 4. Homotopical algebra in gauge theories
- 5. Towards a new framework: Homotopy locally covariant QFT
- 6. Concluding remarks

Brief review of locally covariant QFT

The basic physical idea

Locally covariant QFT is obtained very naturally by combining quantum theory with certain aspects of classical general relativity.

Def: A spacetime is a globally hyperbolic Lorentzian manifold M.

As a first step, we shall neglect dynamical aspects of gravity (gravitons) and backreaction, so a QFT lives on a spacetime but it does not influence it.

- (1) It is a priori not clear in which spacetime M we live, hence a QFT should be democratic and treat all of them on the same footing.
- (II) In quantum theory, observables which can be measured in experiments are described by an abstract *-algebra A (sometimes assumed to be C^*).
 - Combining (I) + (II): A QFT should be a mapping

$$\begin{array}{rcl} \mathfrak{A} & : & \left\{ \text{ all spacetimes } \right\} & \longrightarrow & \left\{ \text{ all }*\text{-algebras } \right\} & , \\ & M & \longmapsto & \mathfrak{A}(M) = & ``\mathsf{QFT} \text{ observables in } M'' \end{array}$$

NB: Such an assignment is too arbitrary! In particular, for sub-spacetimes $N \subseteq M$ the algebras $\mathfrak{A}(N)$ and $\mathfrak{A}(M)$ can be completely different.

 \Rightarrow A more structured approach is needed!

The role of category theory

♦ Recall that a category C is a class of objects Ob(C) together with a set of morphisms $Hom_C(C, C')$, for every pair of objects C, C'.

Morphisms can be composed in an associative way via a composition map $\circ: \operatorname{Hom}_{\mathsf{C}}(C', C'') \times \operatorname{Hom}_{\mathsf{C}}(C, C') \to \operatorname{Hom}_{\mathsf{C}}(C, C'')$ and there are identity morphisms $\operatorname{id}_{C} \in \operatorname{Hom}_{\mathsf{C}}(C, C)$.

- **Ex:** The category of spacetimes Loc has as objects all globally hyperbolic Lorentzian manifolds (oriented, time-oriented and of fixed dimension m) and as morphisms all causal isometric open embeddings $f: M \to M'$.
 - The category of algebras Alg has as objects all *-algebras and as morphisms all *-algebra homomorphisms $\kappa: A \to A'$.
 - ! Notice that sub-spacetime relations $N\subseteq M$ are encoded as morphisms $\iota_{M,N}:N\to M$ in Loc.
 - ◊ Category theory allows for an improved definition of a QFT as a functor

 \mathfrak{A} : Loc \longrightarrow Alg.

From this we get for every spacetime M an algebra $\mathfrak{A}(M)$ and also for every spacetime embedding $f: M \to M'$ an algebra map $\mathfrak{A}(f): \mathfrak{A}(M) \to \mathfrak{A}(M')$.

Brunetti-Fredenhagen-Verch axioms

- $\diamond~$ Not every functor $\mathfrak{A}:\mathsf{Loc}\to\mathsf{Alg}$ will describe a physically reasonable QFT, so one has to impose additional axioms!
- ◊ The original BFV-axioms are:
 - (L) Locality axiom: For any Loc-morphism $f: M \to M'$, the Alg-morphism $\mathfrak{A}(f): \mathfrak{A}(M) \to \mathfrak{A}(M')$ is monic (i.e. injective).
 - (C) Causality axiom: For any Loc-diagram $M_1 \xrightarrow{f_1} M \xleftarrow{f_2} M_2$ such that the images of f_1 and f_2 are causally disjoint, the induced commutator

 $[-,-]_{\mathfrak{A}(M)} \circ (\mathfrak{A}(f_1) \otimes \mathfrak{A}(f_2)) : \mathfrak{A}(M_1) \otimes \mathfrak{A}(M_2) \longrightarrow \mathfrak{A}(M)$

is zero.

- (T) Time-slice axiom: For any Loc-morphism $f: M \to M'$ such that the image contains a Cauchy surface of M', the Alg-morphism $\mathfrak{A}(f)$ is an isomorphism.
- **Ex:** \checkmark Quantized Klein-Gordon theory $(-\Box + m^2 + \xi R)\phi = 0$ [BFV; ...]
 - \checkmark Formal interacting (scalar) QFTs [Hollands,Wald; Brunetti,Fredenhagen; ...]
 - ✓ After slight modifications, also free quantized Dirac theory [Sanders; Zahn; ...]
 - ✓ After more drastic modifications, also supergeometric QFTs [Hack,Hanisch,AS]
 - Gauge theories, even the Abelian ones. This is bad! Why that?

The geometry of gauge theories

Bundles, gauge fields, and all that

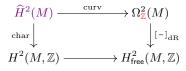
- Gauge theory was born by globalizing and generalizing Maxwell's theory of electromagnetism.
- \diamond As a first step, we choose a structure group G, which in Maxwell's theory is $G = \mathbb{T} = U(1)$ and in particle physics some product with SU(n)'s.
- ♦ A gauge field configuration on a manifold M is a pair $\mathcal{A} = (P, \omega)$, where $P \xrightarrow{\pi} M$ is a principal G-bundle over M and ω a connection on P.
- **NB:** The bundle P describes the topological sector, e.g. the magnetic monopole charge for $G = \mathbb{T}$ or the instanton sector for G = SU(n).
 - The connections ω on P describe fluctuations around the topological sector, e.g. photons for $G = \mathbb{T}$ or gluons for G = SU(3).
 - ♦ A gauge transformation is an arrow $g : A \to A'$ given by a vertical principal *G*-bundle isomorphism $g : P \to P'$ such that $g^*(\omega') = \omega$ under pull-back.
- **NB:** If $M \simeq \mathbb{R}^m$, then all bundles are trivial $P \simeq M \times G$ and a gauge field configuration is simply an element $\mathcal{A} \in \Omega^1(M, \mathfrak{g})$ (called gauge potential).
 - In this case gauge transformations reduce to the usual well-known formula $\mathcal{A}' = g^{-1} \mathcal{A} g + g^{-1} dg, \text{ where } g \in C^{\infty}(M,G).$

Gauge orbit spaces (a.k.a. coarse moduli spaces)

- Let me follow for the moment the (too naive!) folklore that "only gauge equivalence classes matter".
- ◊ Mathematically, this is done by forming the gauge orbit space

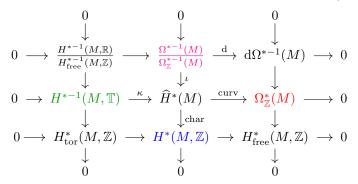
 $\operatorname{Orbit}_G(M) := \{ \text{ all gauge fields on } M \} / \{ \text{ all gauge transformations } \}$

- ♦ For a general structure group G, the geometry of $Orbit_G(M)$ is rather complicated, so let me fix in the following $G = \mathbb{T}$, i.e. Abelian gauge theory.
- \diamond Then $\widehat{H}^2(M) := \operatorname{Orbit}_{\mathbb{T}}(M)$ is Abelian group and we have group maps:
 - Curvature/field strength: $\operatorname{curv}: \widehat{H}^2(M) \longrightarrow \Omega^2(M)$
 - Characteristic class/magnetic charge: char : $\widehat{H}^2(M) \longrightarrow H^2(M, \mathbb{Z})$
 - **NB:** Chern-Weil theory: De Rham class of $\operatorname{curv}(\mathcal{A}) \in \Omega^2(M)$ is equal to $\operatorname{char}(\mathcal{A}) \in H^2(M, \mathbb{Z})$ (modulo torsion). Hence, we have commutative diagram



A fancy diagram of exact sequences

 Studying carefully further aspects of the gauge orbit space one finds that our small diagram can be extended to the diagram of exact sequences (* = 2):



- Physical interpretation:
 - Gauge classes of connections on the trivial bundle
 - Gauge classes of flat connections
 - Characteristic classes/magnetic charges
 - Curvatures/field strengths

A quick look at abstract differential cohomology

- **Def:** A differential cohomology theory is a functor \widehat{H}^* : $Man^{op} \to Ab^{\mathbb{Z}}$ to \mathbb{Z} -graded Abelian groups together with four natural transformations (curv, char, ι , κ) that fits into the natural diagram of exact sequences on the previous slide.
- Thm: [Simons,Sullivan; Bär,Becker] Differential cohomology theories exist (e.g. Cheeger-Simons) and are unique up to a unique natural isomorphism.
- Thm: (The geometry of differential cohomology) [Becker,AS,Szabo]
 - (i) A differential cohomology theory can be promoted to a functor $\widehat{H}^*: \operatorname{Man}^{\operatorname{op}} \to \operatorname{FrAb}^{\mathbb{Z}}$ to \mathbb{Z} -graded Abelian Frechét-Lie groups, such that the natural diagram of exact sequences becomes a diagram in $\operatorname{FrAb}^{\mathbb{Z}}$.
 - (ii) Isomorphism types: $\widehat{H}^k(M) \simeq \mathbb{T}^{b_{k-1}} \times H^k(M, \mathbb{Z}) \times d\Omega^{k-1}(M)$, where b_{k-1} is the k-1-th Betti number of M.
- **Rem:** 1. Physically, the factor $\mathbb{T}^{b_{k-1}}$ describes the Aharonov-Bohm phases, $H^k(M,\mathbb{Z})$ the magnetic charges and $d\Omega^{k-1}(M)$ the linear field strength perturbations.
 - 2. Differential cohomology is also interesting for different degrees:
 - $k=1~~\sigma\text{-model}$ with target space $\mathbb T$
 - k=2~ Abelian $\mathbb T\text{-}\mathsf{gauge}$ theory
 - $k \geq 3$ Higher Abelian gauge theories on k-2-gerbes (important for string theory)

Abelian quantum Yang-Mills theory: Construction, properties and problems

Construction of Abelian quantum Yang-Mills theory

- The quantization of differential cohomology is rather technical, so I can only give a sketch. Details are available in my paper with Becker and Szabo.
 - 1. Take a differential cohomology theory $\widehat{H}^*:\mathsf{Man}^{\mathrm{op}}\to\mathsf{Ab}^{\mathbb{Z}}.$ Fix some degree $k\geq 1$ and induce $\widehat{H}^k:\mathsf{Loc}^{\mathrm{op}}\to\mathsf{Ab}$ to the spacetime category Loc.
 - 2. On Loc we have a natural equation of motion, namely Maxwell's equation $MW := \delta \circ curv : \hat{H}^k \Rightarrow \Omega^{k-1}$ with codifferential $\delta : \Omega^k \Rightarrow \Omega^{k-1}$.
 - 3. Characterize solution groups $\operatorname{Sol}^k := \operatorname{Ker}(\operatorname{MW})$, which turn out to be a subfunctor of \widehat{H}^k that takes values in Abelian Frechét-Lie groups and fits into a nice diagram of exact sequences.
 - Use Peierls' method to obtain from Maxwell's Lagrangian a natural Poisson-Frechét manifold structure on the solution groups Sol^k.
 - 5. Take as classical observables the Poisson *-algebras generated by smooth group characters on Sol^k (smooth Pontryagin duality).
 - 6. Quantize these Poisson *-algebras to C^* -algebras by using techniques from CCR-quantization of presymplectic Abelian groups.

Thm: (The quantization of differential cohomology) [Becker,AS,Szabo]

For any $k \ge 1$, the above construction yields a functor $\mathfrak{A}^k : \mathsf{Loc} \to C^*\mathsf{Alg}$, which satisfies the causality and time-slice axiom of the BFV-axioms.

What about the locality axiom?

Thm: [Becker, AS, Szabo; based on earlier results by Benini, Dappiaggi, Hack, AS]

- (a) The functor $\mathfrak{A}^k : \operatorname{Loc} \to C^*\operatorname{Alg}$ has a subfunctor of the form $\mathfrak{A}^k_{\operatorname{top}} := \mathfrak{CCR} \circ \left(H^k(-,\mathbb{Z})^* \oplus H^{m-k}(-,\mathbb{R})^* \right) : \operatorname{Loc} \longrightarrow C^*\operatorname{Alg}$.
- (b) For any Loc-morphism $f: M \to M'$ the following are equivalent:

1. $\mathfrak{A}^k(f): \mathfrak{A}^k(M) \to \mathfrak{A}^k(M')$ is monic.

2. $f_*: H^k(M,\mathbb{Z})^* \oplus H^{m-k}(M,\mathbb{R})^* \to H^k(M',\mathbb{Z})^* \oplus H^{m-k}(M',\mathbb{R})^*$ is monic.

(c) Unless (m,k) = (2,1), the functor \mathfrak{A}^k violates the locality axiom.

• Physical interpretation:

- (a) A^k_{top} is a topological QFT, measuring the topological content of Abelian Yang-Mills theory given by magnetic charges and electric charges.
- (b) + (c) It is **precisely** due to topological charges that the locality axiom is violated! This violation can be understood as a topological obstruction for extending 'charged' gauge field configurations from M to M'. E.g.
 - (i) For nontrivial electric charge $Q_{\rm el} \neq 0$, the static gauge potential $A \sim Q_{\rm el}/r$ on $\mathbb{R}^3 \setminus \{0\}$ does not extend to a solution of Maxwell's equation on \mathbb{R}^{3+1} .
 - (ii) For nontrivial magnetic charge (i.e. Chern class) $Q_{\text{mag}} \neq 0$, the \mathbb{T} -bundle $P \to \mathbb{R}^3 \setminus \{0\}$ does not extend to \mathbb{R}^3 .

[For experts: The differential cohomology presheaf is not flabby (or at least c-soft).]

Local-to-global property

- ♦ **Conceptual problem:** Due to violations of the locality axiom we can not effectively compare and relate observables via $\mathfrak{A}^k(f) : \mathfrak{A}^k(M) \to \mathfrak{A}^k(M')$ whenever M is topologically non-trivial!
- ? Can we compare local and global physics in a different way?
- ! Introduce gluing axiom! *Heuristically*:

For any M, the global observable algebra $\mathfrak{A}^k(M)$ should be "determined by" the local algebras $\mathfrak{A}^k(U_\alpha)$ in a suitable open cover $\{U_\alpha \to M\}$.

- There are (at least) two possible precise definitions:
 - Additivity axiom: $\mathfrak{A}^k(M) \simeq \bigvee_{\alpha} \mathfrak{A}^k(U_{\alpha})$ [studied in LCQFT by Fewster,Verch]

- Cosheaf axiom:
$$\operatorname{colim}\left(\coprod_{\alpha\beta}\mathfrak{A}^{k}(U_{\alpha\beta})\rightrightarrows\coprod_{\alpha}\mathfrak{A}^{k}(U_{\alpha})\right)\xrightarrow{\simeq}\mathfrak{A}^{k}(M)$$
 [stronger!]

- **Thm:** For $k \ge 2$, the functor $\mathfrak{A}^k : \mathsf{Loc} \to C^*\mathsf{Alg}$ satisfies neither the cosheaf nor the additivity axiom.
 - NB: Physical interpretation: Gauge invariant observables cannot be glued!
 - This is dual to the well-know fact that gauge classes cannot be glued!
 - In mathematical terminology: Differential cohomology is **not** a sheaf and as a consequence its quantization is **not** a cosheaf.

Homotopical algebra in gauge theories

Why is gauge theory different to, say, scalar field theory?

- \diamond Let M be a manifold and $\{U_{\alpha} \rightarrow M\}$ an open cover.
- ♦ For scalar fields, the local and global description are equivalent:

 $\left\{\phi_{\alpha}\in C^{\infty}(U_{\alpha}) \text{ such that } \phi_{\alpha}|_{U_{\alpha\beta}}=\phi_{\beta}|_{U_{\alpha\beta}}\right\} \quad \stackrel{1:1}{\longleftrightarrow} \quad \left\{\phi\in C^{\infty}(M)\right\}$

 $\diamond \ \ \textit{Mathematical terminology:} \ \mathfrak{F} := C^\infty(-): \mathsf{Man}^{\mathrm{op}} \to \mathsf{Set} \ \mathsf{is a sheaf, i.e.}$

$$\mathfrak{F}(M) \xrightarrow{\simeq} \lim \left(\prod_{\alpha} \mathfrak{F}(U_{\alpha}) \rightrightarrows \prod_{\alpha\beta} \mathfrak{F}(U_{\alpha\beta})\right)$$

- Gauge orbits spaces do not form a sheaf, so we have no equivalence between local and global description!
- ◇ If we **do not** form orbits, the groupoids of bundle-connection pairs (more on this later) \mathfrak{G} : Man^{op} → Groupoids form a homotopy sheaf (stack), i.e.

$$\mathfrak{G}(M) \xrightarrow{\sim} \operatorname{holim}\left(\prod_{\alpha} \mathfrak{G}(U_{\alpha}) \rightrightarrows \prod_{\alpha\beta} \mathfrak{G}(U_{\alpha\beta}) \rightrightarrows \prod_{\alpha\beta\gamma} \mathfrak{G}(U_{\alpha\beta\gamma}) \rightrightarrows \cdots\right)$$

NB: The homotopy limit describes a "gluing up to gauge transformations"

$$A_{\beta}|_{U_{\alpha\beta}} = g_{\alpha\beta} A_{\alpha}|_{U_{\alpha\beta}} g_{\alpha\beta}^{-1} + g_{\alpha\beta} \, \mathrm{d}g_{\alpha\beta}^{-1} \quad , \qquad g_{\alpha\beta}|_{U_{\alpha\beta\gamma}} g_{\beta\gamma}|_{U_{\alpha\beta\gamma}} = g_{\alpha\gamma}|_{U_{\alpha\beta\gamma}}$$

Configurations and observables in contractible manifolds

- ◊ The homotopy sheaf property suggest the following strategy:
 - 1. Formulate gauge field configurations and observables in contractible manifolds.
 - 2. Extend via homotopy (co)limits to all manifolds.
- $\diamond\,$ On a contractible manifold M, the groupoid of gauge field configurations may be described by the simplicial set

$$\Omega^1(M,\mathfrak{g}) \overleftarrow{\longleftarrow} C^\infty(M,G) \times \Omega^1(M,\mathfrak{g}) \overleftarrow{\longleftarrow} C^\infty(M,G)^{\times 2} \times \Omega^1(M,\mathfrak{g}) \overleftarrow{\longleftarrow} \cdots$$

 $\diamond~$ Gauge field observables are then suitable functions on this simplicial set, i.e. a cosimplicial algebra

 $\mathcal{O}\big(\Omega^1(M,\mathfrak{g})\big) \stackrel{\longrightarrow}{\longrightarrow} \mathcal{O}\big(C^{\infty}(M,G) \times \Omega^1(M,\mathfrak{g})\big) \stackrel{\longrightarrow}{\longrightarrow} \mathcal{O}\big(C^{\infty}(M,G)^{\times 2} \times \Omega^1(M,\mathfrak{g})\big) \stackrel{\longrightarrow}{\longrightarrow} \cdots$

- **Rem:** For making a suitable choice of functions O one has to equip the configurations with a simplicial manifold (or other smooth) structure.
 - Applying dual Dold-Kan, the cosimplicial algebra gives rise to a dg-algebra, which can be linearized via the van-Est map to the Chevalley-Eilenberg dg-algebra corresponding to infinitesimal gauge transformations. This is the starting point of the BRST/BV-formalism [Fredenhagen,Rejzner in LCQFT].
 - ! Notice that our approach has the advantage that it describes finite gauge transformations! (These are important for gluing!)

Extension to generic manifolds

- On the previous slide we have seen that:
 - gauge field configurations may be described by a functor $\mathfrak{C}:\mathsf{Man}^{\mathrm{op}}_{\mathbb{C}}\to\mathsf{sSet}.$
 - gauge field observables may be described by a functor $\mathfrak{O}:\mathsf{Man}_{\mathbb{C}}\to\mathsf{cAlg}.$
- Wanted: Extension of these functors to all manifolds by computing homotopy (co)limits over suitable (functorial) covers.
- Problem: It is really hard to compute explicitly these homotopy (co)limits!
- We [Benini,AS,Szabo] have tackled this problem and made explicit calculations for Abelian gauge theory, which is much easier because there is a description in terms of chain complexes of Abelian groups (via Dold-Kan).

The details are technical, so I cannot explain them here and refer to our recent paper.

- ◇ Main results: Homotopy limits produce the correct global gauge field configurations (i.e. differential cohomology in chain complex homology) and homotopy colimits produce the correct global gauge field observables.
- ! This solves the problems which shown up in the ordinary universal algebra (i.e. colimit) construction of [Dappiaggi,Lang; Fewster,Lang]!

(missing flat connections, violation of Dirac charge quantization condition, \dots)

Towards a new framework: Homotopy locally covariant QFT

A working definition of hoLCQFT

- ◇ Motivated by our preliminary studies, a homotopy locally covariant QFT should be a homotopy cosheaf 𝔄 : Loc → X with values in some suitable model category of 'higher algebras' X.
- ◊ Potential candidates for X are dgAlg or scAlg.
- $\diamond~$ Moreover, $\mathfrak{A}:Loc\to X$ has to satisfy "weak versions" of the axioms of LCQFT. There are at least two options for this:
 - 1. Compose with the functor $X\to \operatorname{Ho}(X)$ to the homotopy category and demand axioms for $\widetilde{\mathfrak{A}}:\mathsf{Loc}\to \operatorname{Ho}(X).$
 - 2. Demand axioms for $\mathfrak{A}:\mathsf{Loc}\to\mathsf{X}$ up to coherent homotopies.
- **NB:** It is not yet clear which version is more suitable.
 - ♦ Open problems/future work: [AS and friends]
 - Give precise definition of hoLCQFT!
 - Is (Abelian) quantum Yang-Mills theory a hoLCQFT?
 - Can we do interesting model-independent studies in hoLCQFT? (E.g. relative Cauchy evolution, automorphism groups, spin-statistics theorem, ...)

Concluding remarks

Concluding remarks

- I hope that I could convince you that Abelian quantum Yang-Mills theory is not yet as well understood as people always claim.
- In particular, there is a deep conflict between the mathematical structure of gauge theories and the axiomatic framework of locally covariant QFT:

gauge theory + LCQFT = 4

- The source of this problem is that ordinary LCQFT does not capture important structural aspects of gauge theories ("stacky" geometry of configurations spaces; homotopical algebra of observables; ...).
- Because of the immense relevance of gauge theories in physics and mathematics, it is unavoidable for us to generalize our techniques of LCQFT in order to make them compatible with gauge theories.
- My proposed framework exactly goes in this direction:

homotopical algebra + LCQFT = hoLCQFT $\stackrel{?}{\ni}$ gauge theories